

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Woodruff et al.) **Examiner:** Shrestha, Bijendra K.

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Serial No.: 10/679,054) **Art Unit:** 3691

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Filing Date: October 3, 2003) **Atty. Docket No.** 030676

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Title: ENHANCED PREMIUM EQUITY PARTICIPATING SECURITIES

RESPONSE TO OFFICE ACTION

Kirkpatrick & Lockhart Preston Gates Ellis
Pittsburgh, PA 15222
October 31, 2007

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In response to the Office Action mailed July 31, 2007 in connection with the above-identified application, Applicants respond as follows, wherein:

Claim Amendments begin on page 2; and

Remarks begin on page 11.